



**CERTIFIED EXPERT IN RISK
MANAGEMENT,
CORPORATE GOVERNANCE
AND COMPLIANCE**



Corporate Governance is the system by which organizations are directed and controlled. It involves regulatory and market mechanisms, the relationships between an entity's management, its board, its shareholders and other stakeholders, as well as the goals for which the entity is governed. Corporate Governance therefore is about strategy as well as Risk Management. Compliance is a core function within a broader definition of risk management and control. Governance, Risk and Compliance are very closely intertwined and are best presented in an integrated format in order to give business leaders the readily applicable tools to succeed.

In practice, we find that every governance level debate about strategy triggers a scenario analysis that brings out the connected risks and compliance issues. Every Risk Management Committee meeting in the end turns into a strategic discussion about what to do next, about how risk control and mitigation measures would interact with the organization's strategic objectives.

Unit 1: The Nature of Risk

- The Nature of Risk
- Risk Management Principles and Process
- Risk Landscape in Banking
- Risk Management Outside the Financial Industry

Unit 2: Corporate Governance, Risk Management and Organization

- What is Corporate Governance?
- Global Best Practices in Corporate Governance
- Example / Special Box
- Corporate Governance - to what End?
- Interactions Between Legal Form and Corporate Governance
- Critical Review of Various Board Paradigms
- Cases and Examples
- Governance and Prudential Regulation of Financial Institutions

Unit 3: Compliance and Operational Risk Management

- Conceptual Framework for Operational Risk Management
- Special Focus on Key Compliance Topics
- Selected Topics in Operational Risk Management for Financial Services

Unit 4: Credit Risk Management - Selected Tools and Metrics

- The Nature of Credit Risk
- Credit Risk Provisioning
- What Everyone Needs to Know About Credit Rating and Scoring
- Supply Chain Credit Risk Management

Unit 5: Fundamentals of Asset Liability Management

- The Basics of ALM
- Capital Adequacy and Leverage
- Liquidity Risk Management
- Interest Rate Risk
- Foreign Exchange Rate Risk

